

# Teoria quântica de campos em espaco-tempo curvo

[ugomoschella@gmail.com](mailto:ugomoschella@gmail.com)

Università dell'Insubria – Como – Italia  
IHES – Bures-sur-Yvette – France

## **II) (More or less) Canonical quantization of free fields (in flat space!)**

# Things we saw yesterday

- ▶ A quantum field is (distributional) map from a spacetime (a globally hyperbolic manifold) into a local field algebra

$$\mathcal{M} \ni x \rightarrow \phi(x)$$

$$\mathcal{C}^\infty(\mathcal{M}) \ni f \rightarrow \int \phi(x) f(x) dx$$

- ▶ The (Heisenberg) algebraic structure is provided by the commutator: a distribution that vanishes at spacelike separated pairs  $(x, y)$

$$C(x, y) = [\phi(x), \phi(y)] = -i\hbar E(x, y)$$

# Things we saw yesterday

Let  $(M, g)$  a globally hyperbolic manifold. In the space of complex solutions of the KG equation  $\square_g \phi + V(x)\phi = 0$ , introduce the invariant Peierls aka KG inner product

$$(f, g) = -i \int_{\Sigma_t} \bar{f} \overleftrightarrow{\nabla}_\mu g d\sigma^\mu$$

Find a “complete” basis  $\{u_i\}$  so that

$$(u_i, u_j) = \delta_{ij}, \quad (\bar{u}_i, \bar{u}_j) = -\delta_{ij}, \quad (u_i, \bar{u}_j) = 0$$

The commutator admits the following expansion

$$C(x, y) = \sum_i (u_i(x)\bar{u}_i(y) - u_i(y)\bar{u}_i(x))$$

It is basis independent (uniqueness)

# Things we saw yesterday

- ▶ The second necessary step amounts to representing the quantum field and the commutation relations in a Hilbert space

$$\mathcal{M} \ni x \rightarrow \hat{\phi}(x)$$

$$\mathcal{C}^\infty(\mathcal{M}) \ni f \rightarrow \int \hat{\phi}(x) f(x) dx \in Op(\mathcal{H})$$

$$[\hat{\phi}(x), \hat{\phi}(y)] = C(x, y) \quad (= -i\hbar E(x, y))$$

- ▶ This problem has uncountably many solutions (as opposed to the Stone - Von Neumann uniqueness). How to construct (some of) them?

# Quantum field theory (1927)

**Quantum Mechanics + Special Relativity =**

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## **Quantum Field Theory**

- The most successful theory we have (together with GR)
- (Embarrassing) Long standing question:
- **Does any nontrivial relativistic QFT in spacetime dimension 4 exist (at the nonperturbative level)?**
- i.e. are QM and SR compatible?

# QM + SR requirements

➤ Locality or Microcausality:

$$[\hat{\phi}(x), \hat{\phi}(y)] = 0 \text{ if } (x - y)^2 < 0$$

➤ Relativistic invariance:

A strongly continuous unitary rep.  $U(a, \Lambda)$  of the Poincaré group acts on  $\mathcal{H}$

➤ Poincaré invariance of the fields:

$$U(a, \Lambda)\hat{\phi}(x)U(a, \Lambda)^{-1} = \hat{\phi}(\Lambda x + a)$$

➤ Spectral condition on energy and momentum:

$$U(a) = e^{ia^\mu P_\mu} \quad \text{spectrum}(P_\mu) \subset \overline{V^+}$$

➤ Existence and uniqueness of the vacuum:

$$U(a, \Lambda)\psi_0 = \psi_0$$

# Quantum field theory

- Microcausality (i.e. Local commutativity) and/or Poincaré (actually translation) invariance forbid the existence of this map: ultraviolet singularities are unavoidable!

$$\mathbf{M}^4 \ni x \rightarrow \hat{\phi}(x) \in Op(\mathcal{H}) \rightarrow \text{trivial theory}$$

- Fields are singular objects: only spacetime averaged fields make sense

$$\mathcal{S}(\mathbf{M}^4) \ni f \rightarrow \hat{\phi}(f) = \int \hat{\phi}(x) f(x) d^4x \in Op(\mathcal{H})$$

- Quantum fields are distributions.
- Previous properties have to be understood in the sense of distributions.
- Good news: they can be differentiated freely.
- Bad news: they cannot be multiplied. Even writing nonlinear field equations is meaningless:

$$\square\phi + \lambda\phi^3 = 0$$



# Vacuum Expectation Values

- The theory is completely characterized by the knowledge of a set of distributions satisfying a number of properties:

$$\langle \Psi_0, \hat{\phi}(x_1) \dots \hat{\phi}(x_n) \Psi_0 \rangle = W_n(x_1, \dots, x_n)$$

- **Relativistic invariance:**

$$W_n(\Lambda x_1 + a, \dots, \Lambda x_n + a) = W_n(x_1, \dots, x_n)$$

- **Locality or microcausality:**

$$\text{if } (x_j - x_{j+1})^2 < 0$$

$$W_n(x_1, \dots, x_j, x_{j+1}, \dots) = W_n(x_1, \dots, x_{j+1}, x_j, \dots)$$

- **Nonlinear Conditions of Positive definiteness** (Necessary for the Q.M. interpretation)

- **Spectral Condition**

# Positive definiteness

- Consider a terminating sequence of test functions

$$\mathbf{f} = (f_0, f_1(x_1), f_2(x_1, x_2), \dots, 0, \dots) \quad x_j \in \mathbf{M}^4$$

- Construct the vector:

$$\begin{aligned} \psi = & f_0 \Psi_0 + \int dx_1 f_1(x_1) \phi(x_1) \Psi_0 + \\ & + \int dx_1 dx_2 f_2(x_1, x_2) \phi(x_1) \phi(x_2) \Psi_0 + \dots \end{aligned}$$

- Compute the norm of this vector (it has to be positive):

$$\begin{aligned} \langle \psi, \psi \rangle = & \sum_{jk} \int dx dy \bar{f}_j(x_1, \dots, x_j) f_k(y_1, \dots, y_k) \\ & W_{j+k}(x_j, \dots, x_1; y_1, \dots, y_k) \geq 0 \end{aligned}$$

# Spectral Condition

The Fourier transform of the n-point function

$$\tilde{W}_n(p_1, p_2, \dots, p_n) = \frac{1}{(2\pi)^{\frac{n}{2}}} \int e^{i(p_1 x_1 + \dots + p_n x_n)} W_n(x_1, \dots, x_n) dx_1 \dots dx_n$$

$$\tilde{W}(p_1, p_2, \dots, p_n) = 0$$

unless

$$p_1 \in \overline{V^+}, \quad p_1 + p_2 \in \overline{V^+}, \dots \quad p_1 + p_2 + \dots + p_n \in \overline{V^+}$$

# Spectral Condition

$$\tilde{W}(p_1, p_2, \dots, p_n) = 0$$

unless

$$p_1 \in \overline{V^+}, \quad p_1 + p_2 \in \overline{V^+}, \dots \quad p_1 + p_2 + \dots + p_n \in \overline{V^+}$$

equivalent to

$$W(x_1, x_2, \dots, x_n) = b.v.W(z_1, z_2, \dots, z_n)$$

holomorphic in the tube domain  $T_n^-$

$$T_n^- = \{z_j \in \mathbf{M}^{4(c)} : \text{Im}(z_1 - z_2) \in V^-, \dots, \text{Im}(z_{n-1} - z_n) \in V^-\}$$

# Reconstruction ©

- Examples have been constructed in spacetime dimension two and three (hard, hard work in constructive quantum field theory).
- Limits of the method seem to have been attained
- No example known in spacetime dimension 4, after 80 years of history of QFT!
- Are quantum mechanics and special relativity compatible?
- We don't know yet!

# (Generalized) free theories



- Completely characterized by the two-point functions:

$$\langle \Psi_0, \hat{\phi}(x_1) \hat{\phi}(x_2) \Psi_0 \rangle = W(x_1, x_2)$$

- Truncated n-point functions vanish;
- N-point functions are tensor products of two-point functions;
- Example: the 4-point function:

$$\begin{aligned} W_4(x_1, x_2, x_3, x_4) &= \\ &= W(x_1, x_2)W(x_3, x_4) + W(x_1, x_3)W(x_2, x_4) + W(x_1, x_4)W(x_2, x_3) \end{aligned}$$

- GFF have trivial S-matrix
- GFF are the essential ingredient for perturbation theory

# Generalized free theories ©

- Once you have a two-point function, what you do with it?
- First thing: check locality

$$\langle \Psi_0, [\phi(x)\phi(y) - \phi(y)\phi(x)]\Psi_0 \rangle = W(x, y) - W(y, x) = C(x, y)$$

- It must be  $C(x, y) = 0$  when  $(x - y)^2 < 0$

# Generalized free theories ©

- Second check positive-definiteness: now it is simply

$$\int W(x, y) \bar{f}(x) f(y) \geq 0$$

- If you have translation invariance:

$$W(x, y) = W(x - y) = \frac{1}{(2\pi)^2} \int e^{ip \cdot (x - y)} \tilde{W}(p) d^4 p$$

$$\begin{aligned} \int W(x - y) \bar{f}(x) g(y) dx dy &= \frac{1}{(2\pi)^2} \int e^{ip \cdot (x - y)} \tilde{W}(p) d^4 p \bar{f}(x) g(y) dx dy = \\ &= (2\pi)^2 \int \tilde{W}(p) \overline{\tilde{f}(-p)} \tilde{f}(-p) d^4 p \geq 0 \end{aligned}$$

- The Fourier transform of  $W$  is a positive measure of polynomial growth (Bochner-Schwarz theorem)



# Generalized free theories

- Introduce a pre-Hilbert scalar product in the test function space

$$\langle f, g \rangle = \int W(x, y) \bar{f}(x) g(y) d^4x = (2\pi)^2 \int \tilde{W}(p) \overline{\tilde{f}(-p)} \tilde{g}(-p) d^4p$$

- Completing and quotienting the test function space w.r.t. this Hilbert topology gives the 1-particle space:

$$\mathcal{H}^{(1)} = \overline{\mathcal{S}(\mathbf{M}_x^4) / \mathcal{N}} = L^2(\mathbf{M}_p^4, d\mu(p))$$
$$d\mu(p) = \tilde{W}(p) d^4p$$

- The n-particle space is the symmetric tensor product

$$\mathcal{H}^{(n)} = \text{Sym}(\mathcal{H}^{(1)} \otimes \dots \otimes \mathcal{H}^{(1)})$$

- The full Hilbert space is the symmetric Fock space

$$\mathcal{H} = \bigoplus_{n=0}^{\infty} \mathcal{H}^{(n)} \quad \mathcal{H}^{(0)} = \mathbf{C}$$

# Generalized free theories ©

- The vacuum vector:  $\Psi_0 = (1, 0, 0, \dots)$
- A dense set of vectors:  $\Psi_f = (f_0, f_1, \dots, f_k, 0 \dots)$
- The field may be decomposed into creation and annihilation operators on the dense set of vectors:  $\hat{\phi}^-(h) = \hat{\phi}^-(h) + \hat{\phi}^+(h)$

$$\left(\hat{\phi}^-(h)\Psi_f\right)_n(x_1, \dots, x_n) = \sqrt{n+1} \int W(x, x') h(x) f_{n+1}(x', x_1, \dots, x_n) dx dx',$$

$$\left(\hat{\phi}^+(h)\Psi_f\right)_n(x_1, \dots, x_n) = \frac{1}{\sqrt{n}} \sum_{k=1}^n h(x_k) f_{n-1}(x_1, \dots, \hat{x}_k, \dots, x_n).$$

- The commutator is a c-number i.e. proportional to the identity operator:

$$\begin{aligned} [\hat{\phi}(x_1), \hat{\phi}(x_2)] &= \langle \Psi_0, [\hat{\phi}(x_1), \hat{\phi}(x_2)] \Psi_0 \rangle = \\ &= W(x_1, x_2) - W(x_2, x_1) = C(x_1, x_2) \end{aligned}$$

# The other way

$$\mathcal{S}(\mathbb{M}^4) \ni f \rightarrow \phi(f) = \int \phi(x) f(x) d^4x \in \mathcal{F}$$

$$[\phi(x), \phi(y)] = C(x, y)\mathbf{1}$$

- Notice that the hat has again disappeared: now the field algebra is abstract
- Problem: find (all) positive definite two-point distribution such that
$$W(x, y) - W(y, x) = C(x, y)$$
- Fock-space representation is obtained by the previous construction:
$$\phi(f) \rightarrow \hat{\phi}(f) : F_W \rightarrow F_W$$
- There are infinitely many inequivalent answers even in flat spacetime!

# Curved spacetime



$$\mathbf{M}^4 \longrightarrow \mathcal{M}$$
$$\mathcal{S}(\mathbf{M}^4) \ni f \rightarrow \hat{\phi}(f) = \int \hat{\phi}(x) f(x) d^4x \in Op(\mathcal{H})$$

replaced by

$$\mathcal{C}_0^\infty(\mathcal{M}) \ni f \rightarrow \hat{\phi}(f) = \int \hat{\phi}(x) f(x) d^4x \in Op(\mathcal{H})$$

# Curved spacetime



- The only property we can in general retain is local commutativity

$$[\phi(x), \phi(y)] = C(x, y)\mathbf{1}$$

$C(x, y) = 0$  for "spacelike separated" events  $x$  and  $y$

**The problem of constructing a linear quantum field theory amounts to find a positive definite two-point distribution such that the splitting holds**

$$W(x, y) - W(y, x) = C(x, y)$$

# Curved spacetime



- The construction then goes as in flat spacetime (but no symmetry group implemented in general)

$$\langle f, g \rangle = \int_{\mathcal{M}} W(x, y) \bar{f}(x) g(y) d^4 x$$

$$\mathcal{H}^{(1)} = \overline{\mathcal{C}_0^\infty(\mathcal{M})/\mathcal{N}}, \quad \mathcal{H} = \bigoplus_{n=0}^{\infty} \mathcal{H}^{(n)}$$

$$(\hat{\phi}^-(h)\Psi_f)_n(x_1, \dots, x_n) = \sqrt{n+1} \int_{\mathcal{M}} W(x, x') h(x) f_{n+1}(x', x_1, \dots, x_n) dx dx',$$

$$(\hat{\phi}^+(h)\Psi_f)_n(x_1, \dots, x_n) = \frac{1}{\sqrt{n}} \sum_{k=1}^n h(x_k) f_{n-1}(x_1, \dots, \hat{x}_k, \dots, x_n).$$

$$\langle \Psi_0, \hat{\phi}(x) \hat{\phi}(y) \Psi_0 \rangle = W(x, y), \quad [\hat{\phi}(x), \hat{\phi}(y)] = C(x, y) \mathbf{1}$$

# Summary

- **How find a positive definite two-point distribution that solves the equation**

$$W(x, y) - W(y, x) = C(x, y) ?$$

- **How many solutions has this problem?**
- **Which solution is physically relevant?**

# KG fields: vacuum representation

$$\square\phi(x) + m^2\phi(x) = 0$$

$$C(x, y) = \frac{1}{(2\pi)^3} \int e^{-ip(x-y)} [\theta(p^0) - \theta(-p^0)] \delta(p^2 - m^2) dp$$

$$\phi \rightarrow \hat{\phi}, \quad W(x, y) = \langle \Psi_0, \hat{\phi}(x) \hat{\phi}(y) \Psi_0 \rangle$$

$$(\square_x + m^2) W(x, y) = (\square_y + m^2) W(x, y) = 0$$

$$W(x, y) = W(x - y) = \int e^{-ip(x-y)} \tilde{W}(p) \frac{dp}{(2\pi)^3}, \quad px = p^0 t - \mathbf{p} \cdot \mathbf{x}$$

$$(p^2 - m^2) \tilde{W}(p) = 0 \rightarrow \tilde{W}(p) = \delta(p^2 - m^2)$$

$$\delta(p^2 - m^2) = \frac{\delta(p^0 - \sqrt{\mathbf{p}^2 - m^2})}{2\sqrt{\mathbf{p}^2 + m^2}} + \frac{\delta(p^0 + \sqrt{\mathbf{p}^2 - m^2})}{2\sqrt{\mathbf{p}^2 + m^2}}$$

$$\tilde{W}(p) \simeq \alpha \theta(p^0) \delta(p^2 - m^2) + \beta \theta(-p^0) \delta(p^2 - m^2)$$

Positivity of the energy spectrum:  $\beta = 0$



# Klein-Gordon fields



$$W(x, y) = \frac{\alpha}{(2\pi)^3} \int e^{-ip(x-y)} \theta(p^0) \delta(p^2 - m^2) dp$$

$$\begin{aligned} W'(x, y) = W(y, x) &= \frac{\alpha}{(2\pi)^3} \int e^{+ip(x-y)} \theta(p^0) \delta(p^2 - m^2) dp \\ &= \frac{\alpha}{(2\pi)^3} \int e^{-ip(x-y)} \theta(-p^0) \delta(p^2 - m^2) dp \end{aligned}$$

$$C(x, y) = W(x, y) - W(y, x) = \frac{\alpha}{(2\pi)^3} \int e^{-ip(x-y)} \epsilon(p^0) \delta(p^2 - m^2) dp$$

$$\epsilon(p^0) = \theta(p^0) - \theta(-p^0)$$

# Consequences of the spectral condition

2) The spectral condition implies that the integral representation makes sense in a larger complex domain of the complex Minkowski space-time

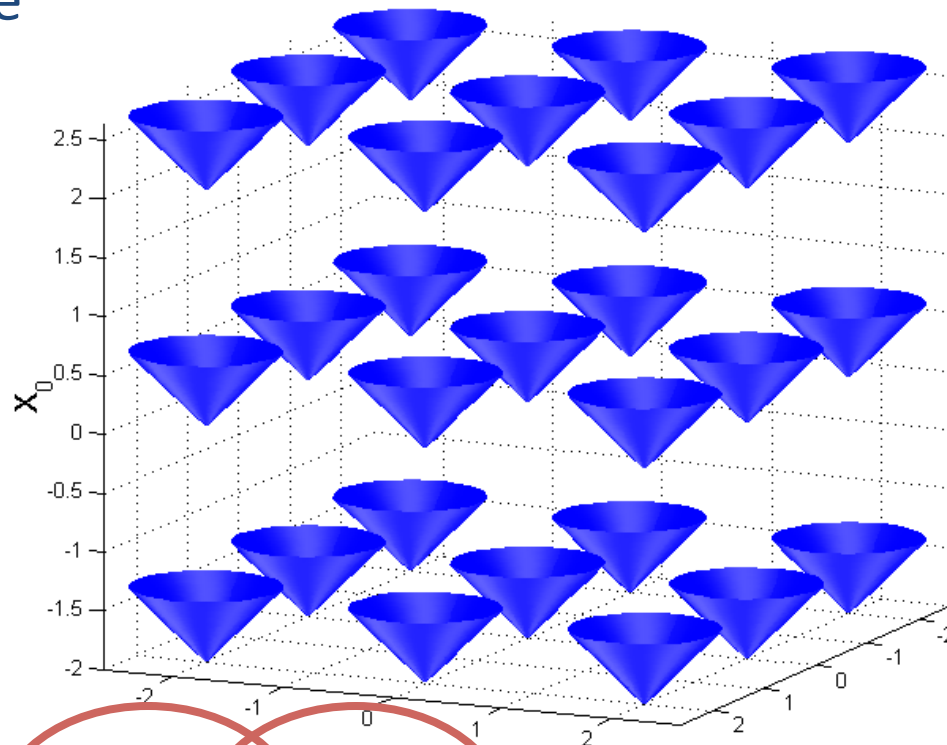
$$z \in T^- \quad (\text{Im } z \in V^-)$$

$$z' \in T^+ \quad (\text{Im } z' \in V^+)$$



$$z' - z \in T^+$$

$$(\text{Im}(z' - z) \in V^+)$$

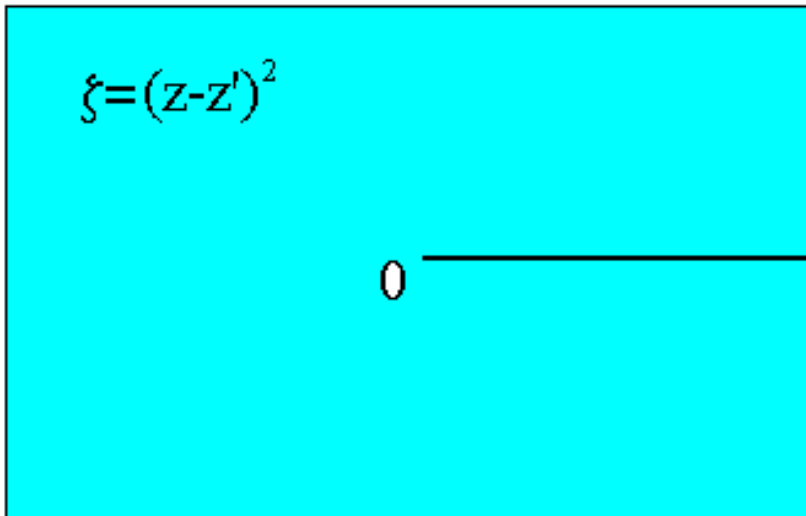


$$W(z - z') = \int e^{-ip \cdot z} e^{ip \cdot z'} \theta(p^0) \delta(p^2 - m^2)$$

# Maximal analyticity

**Spectral Property + Lorentz invariance  
= maximal analyticity**

$W(z, z') = W(z - z') = W(\zeta)$  is maximally analytic in the complex Lorentz invariant variable  $\zeta = (z - z')^2$ :



The cut reflects causality  
and QM

# Klein-Gordon fields II



$$\square\phi(x) + m^2\phi(x) = 0$$
$$C(x, y) = \frac{1}{(2\pi)^3} \int e^{-ip(x-y)} [\theta(p^0) - \theta(-p^0)] \delta(p^2 - m^2) dp$$

The fundamental split equation

$$C(x, y) = W(x, y) - W(y, x)$$

$$\tilde{C}(p) = \tilde{W}(p) - \tilde{W}(-p)$$

Has been solved according with spectral condition

$$\tilde{W}(p) = \theta(p^0) \delta(p^2 - m^2) \quad \tilde{W}(-p) = \theta(-p^0) \delta(p^2 - m^2)$$

$$\tilde{C}(p) = \tilde{W}(p) - \tilde{W}(-p) = \epsilon(p^0) \delta(p^2 - m^2)$$

# Klein-Gordon fields II



$$\square\phi(x) + m^2\phi(x) = 0$$
$$C(x, y) = \frac{1}{(2\pi)^3} \int e^{-ip(x-y)} [\theta(p^0) - \theta(-p^0)] \delta(p^2 - m^2) dp$$

$$C(x, y) = W(x, y) - W(y, x) \qquad \tilde{C}(p) = \tilde{W}(p) - \tilde{W}(-p)$$

$$\tilde{W}(p) = (\alpha(p)\theta(p^0) + \gamma(p)\theta(-p^0))\delta(p^2 - m^2)$$

$$\tilde{W}(-p) = (\alpha(-p)\theta(-p^0) + \gamma(-p)\theta(p^0))\delta(p^2 - m^2)$$

$$\alpha(p) - \gamma(-p) = 1, \quad \alpha(-p) - \gamma(p) = 1$$

► Immediate (trivial) solution:  $\alpha$  and  $\gamma$  constant such that  $\alpha - \gamma = 1$

$$\tilde{W}_\gamma(p) = [(1 + \gamma)\theta(p^0) + \gamma\theta(-p^0)]\delta(p^2 - m^2)$$

► It defines an inequivalent local and covariant quantization of the KG field; negative energy states are present. The representation is not irreducible.

# Klein-Gordon fields II



$$\tilde{W}_\gamma(p) = [(1 + \gamma)\theta(p^0) + \gamma\theta(-p^0)]\delta(p^2 - m^2)$$

$$\gamma = \frac{1}{e^\beta - 1} \quad \alpha = 1 + \gamma = \frac{e^\beta}{e^\beta - 1} = \frac{1}{1 - e^{-\beta}}$$

$$\gamma(p) = \frac{1}{e^{-\beta p^0} - 1} \quad \alpha(p) = \frac{1}{1 - e^{-\beta p^0}}$$

$$\alpha(p) - \gamma(-p) = \frac{1}{1 - e^{-\beta p^0}} - \frac{1}{e^{\beta p^0} - 1} = 1$$

$$\alpha(-p) - \gamma(p) = \frac{1}{1 - e^{\beta p^0}} - \frac{1}{e^{-\beta p^0} - 1} = 1$$

► Non trivial solution to the split equation:

$$\tilde{W}_\beta(p) = \left[ \frac{\theta(p^0)}{1 - e^{-\beta p^0}} + \frac{\theta(-p^0)}{e^{-\beta p^0} - 1} \right] \delta(p^2 - m^2)$$

# Klein-Gordon fields II



$$\square\phi(x) + m^2\phi(x) = 0$$

$$C(x, y) = \frac{1}{(2\pi)^3} \int e^{-ip(x-y)} [\theta(p^0) - \theta(-p^0)] \delta(p^2 - m^2) dp$$

$$\tilde{W}_\beta(p) = \left[ \frac{\theta(p^0)}{1 - e^{-\beta p^0}} + \frac{\theta(-p^0)}{e^{-\beta p^0} - 1} \right] \delta(p^2 - m^2) = \frac{\epsilon(p^0) \delta(p^2 - m^2)}{1 - e^{-\beta p^0}}$$

$$W_\beta(x, y) = \frac{1}{(2\pi)^3} \int e^{-ip(x-y)} \left[ \frac{1}{1 - e^{-\beta p^0}} \right] \epsilon(p^0) \delta(p^2 - m^2) dp$$

$$W_\beta(x, y) - W_\beta(y, x) = C(x, y)$$

- Positive definiteness holds.
- Stationary local quantization of the KG field depending on a positive parameter  $\beta$ .
- Lorentz invariance is broken. There exists a preferred class of referentials.

# Klein-Gordon fields II



► Two crucial properties: analyticity

$$W_\beta(x, y) = \frac{1}{(2\pi)^3} \int e^{-ip^0(t-s) + i\mathbf{p}(\mathbf{x}-\mathbf{y})} \left[ \frac{1}{1 - e^{-\beta p^0}} \right] \epsilon(p^0) \delta(p^2 - m^2) dp$$

analytic in the strip  $-\beta < \text{Im}(t - s) < 0$

$$W_\beta(y, x) = \frac{1}{(2\pi)^3} \int e^{+ip^0(t-s) - i\mathbf{p}(\mathbf{x}-\mathbf{y})} \left[ \frac{1}{1 - e^{-\beta p^0}} \right] \epsilon(p^0) \delta(p^2 - m^2) dp$$

analytic in the strip  $0 < \text{Im}(t - s) < \beta$

► Periodicity in imaginary time

$$\begin{aligned} W_\beta(s, \mathbf{y}, t + i\beta, \mathbf{x}) &= \frac{1}{(2\pi)^3} \int e^{+ip^0(t+i\beta-s) - i\mathbf{p}(\mathbf{x}-\mathbf{y})} \left[ \frac{1}{1 - e^{-\beta p^0}} \right] \epsilon(p^0) \delta(p^2 - m^2) dp \\ &= \frac{1}{(2\pi)^3} \int e^{+ip^0(t-s) - i\mathbf{p}(\mathbf{x}-\mathbf{y})} \left[ \frac{e^{-\beta p^0}}{1 - e^{-\beta p^0}} \right] \epsilon(p^0) \delta(p^2 - m^2) dp \\ &= \frac{1}{(2\pi)^3} \int e^{+ip^0(t-s) - i\mathbf{p}(\mathbf{x}-\mathbf{y})} \left[ \frac{1}{e^{\beta p^0} - 1} \right] \epsilon(p^0) \delta(p^2 - m^2) dp \\ (p^0 \rightarrow -p^0) &= \frac{1}{(2\pi)^3} \int e^{-ip^0(t-s) + i\mathbf{p}(\mathbf{x}-\mathbf{y})} \left[ \frac{1}{1 - e^{-\beta p^0}} \right] \epsilon(p^0) \delta(p^2 - m^2) dp \\ &= W_\beta(t, \mathbf{x}, s, \mathbf{y}) \end{aligned}$$



# KMS condition



- Consider a quantum system confined to a compact subset of space. Its time-evolution is generated by a self-adjoint Hamiltonian  $H$  on a Hilbert space  $\mathcal{H}$ . The energy spectrum of  $H$  is discrete.
- $Q_1, \dots, Q_N$  self-adjoint operators on  $\mathcal{H}$  representing conserved quantities and commuting with all "observables".
- $\mu_1, \dots, \mu_N$  denote the chemical potentials conjugate to the conserved quantities.
- The state describing thermal equilibrium at inverse temperature  $\beta$  and chemical potentials  $\mu_1, \dots, \mu_N$  is given by the density matrix (Gibbs, Landau, von Neumann)

$$\rho_{\beta, \underline{\mu}} := Z_{\beta, \underline{\mu}}^{-1} \exp[-\beta H_{\underline{\mu}}], \quad \langle A \rangle_{\beta, \underline{\mu}} := \text{tr}_{\mathcal{H}}[\rho_{\beta, \underline{\mu}} A]$$

$$H_{\underline{\mu}} := H - \sum_{i=1}^N \mu_i Q_i, \quad Z_{\beta, \underline{\mu}} = \text{tr}_{\mathcal{H}}[e^{-\beta H_{\underline{\mu}}}]$$

# KMS condition



$$\rho_{\beta,\mu} := Z_{\beta,\mu}^{-1} \exp[-\beta H_{\mu}], \quad \langle A \rangle_{\beta,\underline{\mu}} := \text{tr}_{\mathcal{H}}[\rho_{\beta,\mu} A]$$

- Time evolution in the Heisenberg representation

$$\alpha_t(A) := e^{itH} A e^{-itH} = e^{itH_{\mu}} A e^{-itH_{\mu}}$$

- $\langle \alpha_t(A) B \rangle_{\beta,\mu}$  analytic in the strip  $-\beta < \text{Im } t < 0$
- $\langle B \alpha_t(A) \rangle_{\beta,\mu}$  analytic in the strip  $0 < \text{Im } t < \beta$
- Cyclicity of the trace implies the famous KMS periodicity condition

$$\langle \alpha_t(A) B \rangle_{\beta,\mu} = \langle B \alpha_{t+i\beta}(A) \rangle_{\beta,\mu}$$

# Klein-Gordon fields II



- KMS Thermal equilibrium quantization at inverse temperature  $\beta=1/T$ :

$$W_\beta(x, y) = \frac{1}{(2\pi)^3} \int e^{-ip^0(t-s)+ip(\mathbf{x}-\mathbf{y})} \left[ \frac{1}{1 - e^{-\beta p^0}} \right] \epsilon(p^0) \delta(p^2 - m^2) dp$$

$W_\beta(x, y)$  analytic in the strip  $-\beta < \text{Im}(t - s) < 0$

$W_\beta(y, x)$  analytic in the strip  $0 < \text{Im}(t - s) < \beta$

$$W_\beta(s, \mathbf{y}, t + i\beta, \mathbf{x}) = W_\beta(t, \mathbf{x}, s, \mathbf{y})$$

- For every  $\beta=1/T$  we have an inequivalent canonical quantization:

$$\square \hat{\phi}_\beta(x) + m^2 \hat{\phi}_\beta(x) = 0$$

$$[\hat{\phi}_\beta(x), \hat{\phi}_\beta(y)] = \frac{1}{(2\pi)^3} \int (\theta(p^0) - \theta(-p^0)) \delta(p^2 - m^2) d^4 p$$

$$[\hat{\phi}_\beta(t_0, \mathbf{x}), \hat{\pi}_\beta(t_0, \mathbf{y})] = i\hbar \delta(\mathbf{x} - \mathbf{y})$$

$$[\hat{\phi}_\beta(t_0, \mathbf{x}), \hat{\phi}_\beta(t_0, \mathbf{y})] = [\hat{\pi}_\beta(t_0, \mathbf{x}), \hat{\pi}_\beta(t_0, \mathbf{y})] = 0.$$

# Klein-Gordon fields III



$$\begin{aligned}\square\phi(x) + m^2\phi(x) &= 0 \\ [\phi(t_0, \mathbf{x}), \pi(t_0, \mathbf{y})] &= i\hbar\delta(\mathbf{x} - \mathbf{y}) \\ [\phi(t_0, \mathbf{x}), \phi(t_0, \mathbf{y})] &= [\pi(t_0, \mathbf{x}), \pi(t_0, \mathbf{y})] = 0.\end{aligned}$$

$$\begin{aligned}W(x, y) &= \frac{1}{(2\pi)^3} \int e^{-i\omega(x^0 - y^0) + i\mathbf{p}(\mathbf{x} - \mathbf{y})} \frac{d^3\mathbf{p}}{2\omega} \\ &= \int \frac{e^{-i\omega x^0 + i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}} \frac{e^{i\omega y^0 - i\mathbf{p}\mathbf{y}}}{\sqrt{(2\pi)^3 2\omega}} d^3\mathbf{p} \quad \omega = \sqrt{\mathbf{p}^2 + m^2}\end{aligned}$$

The function  $u_{\mathbf{p}}(t, \mathbf{x}) = \frac{e^{-i\omega t + i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}}$  is a complex classical solution of the KG equation:

$$\square u_{\mathbf{p}}(t, \mathbf{x}) = (-\omega^2 + \mathbf{p}^2)u_{\mathbf{p}}(t, \mathbf{x}) = -m^2 u_{\mathbf{p}}(t, \mathbf{x})$$

$$W(x, y) = \int u_{\mathbf{p}}(t, \mathbf{x}) u_{\mathbf{p}}^*(s, \mathbf{y}) d^3\mathbf{p}$$

# Klein-Gordon fields III



$$\begin{aligned}(\phi_1, \phi_2) &= -i\Omega(\bar{\phi}_1, \phi_2) = i \int_{t=\text{const}} [\bar{\phi}_1(t, \mathbf{x})\pi_2(t, \mathbf{x}) - \bar{\pi}_1(t, \mathbf{x})\phi_2(t, \mathbf{x})] d^3\mathbf{x} \\ &= i \int_{t=\text{const}} \bar{\phi}_1(t, \mathbf{x}) \overleftrightarrow{\partial}_t \phi_2(t, \mathbf{x}) d^3\mathbf{x}\end{aligned}$$

$$(u_{\mathbf{p}}, u_{\mathbf{p}'}) = i \int \left[ \frac{e^{i\omega t - i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}} (-i\omega') \frac{e^{-i\omega' t + i\mathbf{p}'\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega'}} - i\omega \frac{e^{i\omega t - i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}} \frac{e^{-i\omega' t + i\mathbf{p}'\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega'}} \right] d^3\mathbf{x} = \delta(\mathbf{p} - \mathbf{p}')$$

$$(\bar{u}_{\mathbf{p}}, \bar{u}_{\mathbf{p}'}) = i \int \left[ \frac{e^{-i\omega t + i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}} (i\omega') \frac{e^{i\omega' t - i\mathbf{p}'\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega'}} + i\omega \frac{e^{-i\omega t + i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}} \frac{e^{i\omega' t - i\mathbf{p}'\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega'}} \right] d^3\mathbf{x} = -\delta(\mathbf{p} - \mathbf{p}')$$

$$(u_{\mathbf{p}}, \bar{u}_{\mathbf{p}'}) = i \int \left[ \frac{e^{i\omega t - i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}} (i\omega') \frac{e^{i\omega' t - i\mathbf{p}'\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega'}} - i\omega \frac{e^{i\omega t - i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}} \frac{e^{i\omega' t - i\mathbf{p}'\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega'}} \right] d^3\mathbf{x} = 0$$

# Klein-Gordon fields III



Consider the space  $S^{\mathbb{C}}$  of complex classical solution of the KG equation. Introduce a sesquilinear (symplectic) form

$$(f, g) = i \int_{t=\text{const}} \bar{f}(t, \mathbf{x}) \overleftrightarrow{\partial}_t g(t, \mathbf{x}) d^3 \mathbf{x}$$

The complex solutions  $u_{\mathbf{p}}(x) = \frac{e^{-i\omega t + i\mathbf{p}\mathbf{x}}}{\sqrt{(2\pi)^3 2\omega}}$  are a "basis" for  $S^{\mathbb{C}}$  in the following sense:

$$(u_{\mathbf{p}}, u_{\mathbf{p}'}) = \delta(\mathbf{p} - \mathbf{p}'), \quad (\bar{u}_{\mathbf{p}}, \bar{u}_{\mathbf{p}'}) = -\delta(\mathbf{p} - \mathbf{p}'), \quad (u_{\mathbf{p}}, \bar{u}_{\mathbf{p}'}) = 0$$

The functions  $u_{\mathbf{p}}(x)$  are "positive frequency" in the following sense:  $i\partial_t u_{\mathbf{p}} = \omega u_{\mathbf{p}}$

The two-point function is a superposition of all the "positive frequency" solutions and their complex conjugates:

$$W(x, y) = \int u_{\mathbf{p}}(x) \overline{u_{\mathbf{p}}(y)} d^3 \mathbf{p}$$

# Klein-Gordon fields III



- The structure of the 2-point function guarantees canonicity:

$$W(x, y) = \int u_{\mathbf{p}}(x) \overline{u_{\mathbf{p}}(y)} d^3 \mathbf{p} \quad \begin{aligned} (u_{\mathbf{p}}, u_{\mathbf{p}'}) &= \delta(\mathbf{p} - \mathbf{p}'), \\ (\bar{u}_{\mathbf{p}}, \bar{u}_{\mathbf{p}'}) &= -\delta(\mathbf{p} - \mathbf{p}'), \\ (u_{\mathbf{p}}, \bar{u}_{\mathbf{p}'}) &= 0 \end{aligned}$$

$$C(x, y) = W(x, y) - W(y, x) = \int [u_{\mathbf{p}}(x) \overline{u_{\mathbf{p}}(y)} - u_{\mathbf{p}}(y) \overline{u_{\mathbf{p}}(x)}] d^3 \mathbf{p}$$

$$\left. \frac{\partial C}{\partial y^0}(x, y) \right|_{x^0=y^0} = \int \left[ u_{\mathbf{p}}(x) \frac{\partial \overline{u_{\mathbf{p}}(y)}}{\partial y^0} - \frac{\partial u_{\mathbf{p}}(y)}{\partial y^0} \overline{u_{\mathbf{p}}(x)} \right]_{x^0=y^0} d^3 \mathbf{p} = i \delta^3(\mathbf{x} - \mathbf{y})$$

- The 2-point function is a map into the positive frequency subspace of  $S^{\mathbf{C}}$

$$f \in C_0^\infty(\mathbb{M}^4) \rightarrow W * f = \int W(x, y) f(y) d^4 y = \int u_{\mathbf{p}}(x) \overline{u_{\mathbf{p}}(y)} f(y) d^4 y d^3 \mathbf{p}$$

- The permuted 2-point function is a map into the negative frequency subspace of  $S^{\mathbf{C}}$

$$f \in C_0^\infty(\mathbb{M}^4) \rightarrow W' * f = \int W(y, x) f(y) d^4 y = \int \overline{u_{\mathbf{p}}(x)} u_{\mathbf{p}}(y) f(y) d^4 y d^3 \mathbf{p}$$

# Bogoliubov Transformations



- ▶ A basis in the space of complex solutions of the KG equation on a manifold M

$$(u_i, u_j) = \delta_{ij}, \quad (\bar{u}_i, \bar{u}_j) = -\delta_{ij}, \quad (u_i, \bar{u}_j) = 0$$

- ▶ Another basis  $(v_i, v_j) = \delta_{ij}, \quad (\bar{v}_i, \bar{v}_j) = -\delta_{ij}, \quad (v_i, \bar{v}_j) = 0$

- ▶ Completeness formally gives

$$v_i(x) = \sum [a_{ij}u_j(x) + b_{ij}\bar{u}_j(x)] \quad u_j(x) = \sum [\bar{a}_{ij}v_i(x) - b_{ij}\bar{v}_i(x)]$$

$$(v_i, u_k) = a_{ik}, \quad (v_i, \bar{u}_k) = -b_{ik},$$

$$v_i(x) = \sum [(a_{ij}\bar{a}_{lj} - b_{ij}\bar{b}_{lj})v_l(x) - (a_{ij}b_{lj} - b_{ij}a_{lj})\bar{v}_l(x)]$$

$$(a_{ij}\bar{a}_{lj} - b_{ij}\bar{b}_{lj}) = \delta_{ij}, \quad (a_{ij}b_{lj} - b_{ij}a_{lj}) = 0$$



# Bogoliubov Transformations

- The abstract quantum field in terms of ladder operators (formally)

$$\phi(x) = \sum [u_i b_i + \bar{u}_i b_i^\dagger] = \sum [v_i a_i + \bar{v}_i a_i^\dagger]$$

$$b_i = \sum [a_{ji} a_j + \bar{b}_{ji} a_j^\dagger] \qquad a_i = \sum [\bar{a}_{ij} b_j - \bar{b}_{ji} b_j^\dagger]$$

- Choose the corresponding Fock vacua

$$W(x, y) = \langle \Psi_0, \hat{\phi}(x) \hat{\phi}(y) \Psi_0 \rangle_b = \sum_i u_i(x) \overline{u_i(y)}$$

$$W_{a,b}(x, y) = \langle \Psi_0, \hat{\phi}(x) \hat{\phi}(y) \Psi_0 \rangle_a = \sum v_i(x) \bar{v}_j(y) = \sum [a_{ij} u_j(x) + b_{ij} \bar{u}_j(x)] [\bar{a}_{il} \bar{u}_l(y) + \bar{b}_{il} u_l(y)],$$

- If the quantizations are unitarily equivalent the Bogoliubov transformation is implementable. The matrices a and b must be Hilbert-Schmidt.
- Otherwise the quantizations are inequivalent.